




Memorandum

**U.S. Department of
Transportation**

Office of the Secretary
of Transportation
Office of Inspector General

Subject: INFORMATION: Notification of Audit – Capital
Planning and Investment Control, DOT
Project Number: 02F3009F000

Date: May 8, 2002

From: John L. Meche 
Deputy Assistant Inspector General for Financial
and Information Technology Audits

Reply to
Attn. of: JA-20: x61496

To: Chief Information Officer, DOT

The Office of Inspector General is initiating an audit of the Capital Planning and Investment Control process for information technology investments. This audit will be conducted at the Department of Transportation (DOT) Headquarters.

We will determine whether DOT has (1) a defined process, including development of an Enterprise Architecture, to facilitate selection of information technology investment projects, (2) the means for DOT senior management to oversee information technology investments, and (3) a consistent process for estimating life-cycle system security costs.

This audit will be conducted at the departmental and Operating Administration levels. We have selected the Federal Aviation Administration, U.S. Coast Guard, Transportation Security Administration, and Federal Highway Administration for review because they comprise nearly 90 percent of DOT's total investment in information technology.

We will begin our work in May 2002.

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cc: Under Secretary of Transportation for Security
Federal Aviation Administrator
Commandant, U.S. Coast Guard
Federal Highway Administrator