



Memorandum

Date: January 28, 2022

Subject: INFORMATION: Audit Announcement | Fiscal Year 2022 FISMA Review | Project No. 22T3005T000
Surface Transportation Board

From: Kevin Dorsey
Assistant Inspector General for Information Technology Audits

To: The Honorable Martin J. Oberman
Chairman, Surface Transportation Board
395 E Street, SW
Washington, DC 20423-0001

The Federal Information Security Modernization Act of 2014 (FISMA)¹ requires agencies to implement information security programs. The act also requires agencies to have an annual independent evaluation performed to determine the effectiveness of their programs and report the results of these reviews to the Office of Management and Budget (OMB). To meet this requirement, the Surface Transportation Board (STB) has requested that we perform its fiscal year 2022 FISMA review. We have contracted with Williams Adley & Company—DC LLP (Williams Adley), an independent public accounting firm, to conduct this review subject to our oversight.

The audit objective is to determine the effectiveness of STB's information security program and practices. We will review a group of FISMA security metrics and performance measures selected by OMB and submit the results of our assessment through CyberScope² to OMB as required.

Williams Adley will begin the audit immediately and conduct its work at STB Headquarters offices in Washington, DC, and contractor sites. We will contact your audit liaison to schedule an entrance conference. If you have any questions, please contact me at 202-366-1518 or Nathan Custer, Program Director, at 202-366-5540.

cc: STB Audit Liaison

¹ Pub. L. No. 113–283.

² A web-based application that collects security data from Federal agencies. OMB compiles the data and generates reports, as FISMA requires.