



**U.S. Department of
Transportation**
Office of the Secretary
of Transportation
Office of Inspector General

Memorandum

Subject: **INFORMATION**: Audit Announcement --
Review of the Security and Controls over
the Civil Aviation Registry Systems
Federal Aviation Administration
Project Number: 11F3006F000

Date: December 21, 2010

A handwritten signature in blue ink that reads "Earl C. Hedges".

From: Earl C. Hedges
Acting Assistant Inspector General for
Financial and Information Technology Audits

Reply to: JA-20
Attn. of:

To: Associate Administrator for Aviation Safety

The Office of Inspector General (OIG) will perform an audit of the security and controls over the Federal Aviation Administration's (FAA) Civil Aviation Registry Systems. FAA uses registry systems for aircraft registration and airman certification, rating, and authorization. In 2010, FAA processed 165,001 aircraft registrations and 164,086 airmen/student pilot applications. These applications contain sensitive aircraft and personal information on airmen registrants, such as names, addresses, and Social Security numbers.

Our audit objectives are to determine whether: (1) personally identifiable information is secure from unauthorized use or access; (2) information in the registry systems is sufficient for managing aircraft registrations and airmen's records; and (3) registry contingency planning ensures FAA's continued ability to accomplish its mission of aviation safety.

We plan to start this audit in December, and will conduct it at FAA's Aviation Registry, located at the Mike Monroney Aeronautical Center in Oklahoma City. We will contact your audit liaison to schedule an entrance conference and discuss the audit.

The Program Director for this audit is Nathan Custer and the Project Manager is Joann Adam. Should you have any questions, please call me at (410) 962-1729 or Nathan Custer at (202) 366-5540.

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cc: Chief Information Officer, DOT
Assistant Administrator for Information Services/Chief Information Officer,
FAA
Martin Gertel, M-1
Anthony Williams, AAE-100