



U.S. Department of
Transportation
Office of the Secretary
of Transportation
Office of Inspector General

Memorandum

Subject: INFORMATION: Audit Announcement—
Protection of Privacy Information, DOT
Project Number: 13F3014F000

Date: September 18, 2013

From: Louis C. King 
Assistant Inspector General for Financial and
Information Technology Audits

Reply JA-20
to Attn.
of:

To: Chief Information Officer

The Office of Inspector General (OIG) is initiating an audit of the Department of Transportation's (DOT) information management practices for protection of Personally Identifiable Information (PII). The audit is mandated by the 2008 amendments to Section 522 of the Fiscal Year 2005 Transportation, Treasury, Independent Agencies, and General Government Appropriations Act.

OIG has contracted with CliftonLarsonAllen LLP, an independent public accounting firm, to conduct this review subject to OIG's oversight. The audit objectives are to determine whether: (1) DOT has established adequate procedures for the collection, use, and security of Personally Identifiable Information; (2) DOT ensures compliance with its own privacy and data protection policies and applicable laws and regulations to prevent unauthorized access to or unintended use of PII; and (3) DOT's Operating Administrations properly evaluate the necessity of using PII to process system data.

CliftonLarsonAllen's auditors will begin this review in September 2013, and conduct it at selected DOT Operating Administrations in Washington, D.C. We will contact your audit liaison to schedule an entrance conference. If you have questions, please call me at (202) 366-1407, or Nathan Custer, Program Director, at (202) 366-5540.

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cc: DOT Chief Privacy Officer
DOT Audit Liaison, M-1