



Memorandum

Date: January 15, 2020

Subject: INFORMATION: Audit Announcement | Fiscal Year 2020 FISMA Review | Project No. 20F3011F000
Department of Transportation

From: Louis C. King *Louis C. King*
Assistant Inspector General for Financial and Information Technology Audits

To: Chief Information Officer

The Federal Information Security Modernization Act of 2014 (FISMA) requires agencies to implement information security programs. The act also requires agencies to conduct annual independent reviews to determine the effectiveness of their programs, and report the reviews' results to the Office of Management and Budget (OMB). We have contracted with CliftonLarsonAllen LLP (CLA), an independent public accounting firm, to conduct the review of the Department of Transportation's (DOT) information security program, subject to our oversight.

The audit objective will be to determine the effectiveness of DOT's information security program, including its performance in five function areas—Identify, Protect, Detect, Respond, and Recover. We will also submit an assessment of FISMA security metrics and performance measures through CyberScope,¹ as required by OMB.

CLA will begin this review in January 2020, and conduct it at DOT Headquarters, Operating Administration offices, and contractor sites. We will contact your audit liaison to schedule an entrance conference. If you have any questions, please call me at (202) 366-1407, or Abdil Salah, Program Director, at (202) 366-8543.

cc: The Deputy Secretary
CIO Council Members
DOT Audit Liaison, M-1

¹ A web-based application that collects security data from Federal agencies. OMB compiles the data and generates reports, as required by FISMA.