

OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 1 of 4) Version 4.0

Reporting Entity:	Department of Transportation - OIG	
Month Ending Date:	10/31/2009	

Recovery Act Funds Used on Recovery Act Activity

No.	Agency / Bureau	Recovery Act TAFS	Obligation Type	US Indicator	State Code (ONLY for grants and cooperative agreements)	Total Obligations	Total Gross Outlays
1	Department of Transportation - OIG	(69-0131 2009 \ 2013) Transportation - OIG - Recovery Act	Other	Y - US		\$731,466	\$499,631
2							
3							
4							
5							
6							
7							
8							
9							
10							

Non-Recovery Act Funds Used on Recovery Act Activity

No.	Agency / Bureau	Non-Recovery Act TAFS	Total FY 2009 Obligations	Total FY 2009 Gross Outlays	Total FY 2010 Obligations	Total FY 2010 Gross Outlays
1	Department of Transportation - OIG	(69-0130 2009) Transportation - OIG	\$1,569,106	\$1,569,106	\$0	\$0
2						
3						
4						
5						
6						
7						
8						
9						
10						

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FTE Working on Recovery	
Newly Hired FTE (cumulative):	1.08
FTE Funded by Recovery Act Funds (cumulative):	1.85
FTE <u>Not</u> Funded by Recovery Act Funds (cumulative):	17.07

Testimonies:	
Provided (monthly):	0
Provided (cumulative):	3

Complaints	Whistleblower Reprisal Allegations	Investigations	Audits / Inspections / Evaluations / Reviews	Training / Outreach	
Monthly Data		Monthly Data		Monthly Data	
Received: 21	Received: 0	Opened: 5	Initiated (this month): 2	Training Sessions Provided: 10	
	Accepted: 0	Active: 8	In Process (as of the end of the month): 4	Individuals Trained: 707	
		Pending Decision: 8	Completed Final Published Work Products: 1	Hours of Training Provided: 707	
		Closed without Action: 0	Priority Interim Published Work Products: 0	Outreach Sessions Conducted: 13	
		Accepted for Prosecution: 1	Unpublished Work Products*: 0		
		Prosecution Denied: 1			
		Referred for Alternative Resolution: 0			
Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009	
Received: 184	Received: 0	Closed without Action: 0	Completed Final Published Work Products: 5	Training Sessions Provided: 142*	
	Accepted: 0	Accepted for Prosecution: 7	Priority Interim Published Work Products: 0	Individuals Trained: 10,134*	
		Prosecution Denied: 1	Unpublished Work Products: 0	Hours of Training Provided: 10,134*	
		Referred for Alternative Resolution: 1	Cumulative Total: 5	Outreach Sessions Conducted: 123	
		Cumulative Total: 9			

* Data adjusted based on new tracking system

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No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month)
1	On October 6, the DOT OIG issued an audit report on the "Status of Operating Administrations' Processes to Conduct Limited Quality Reviews of Recovery Act Recipient Data."
2	On October 16, the DOT OIG announced its FY 2010 Recovery Act work plan.
3	On October 21 and 23, the Inspector General, Principal Assistant Inspector General for Auditing and Evaluation, and the Assistant Inspector General for Investigations spoke on ARRA related issues at the Association of Inspectors General Fall Conference in Washington, D.C.
4	On October 28, the DOT OIG initiated an audit of the Federal Highway Administration's (FHWA) Federal-Aid Highway Program Oversight of Procurement Practices for ARRA-Funded Contracts at State Departments of Transportation. The objective of this audit is to assess the adequacy of FHWA's oversight of State DOT contracting practices for awarding ARRA contracts.
5	On October 29, the DOT OIG initiated an audit of FHWA and State DOT oversight of projects administered by Local Public Agencies (LPAs). The objective of this audit is to assess the effectiveness of FHWA's process to improve State oversight of LPA projects.

No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for the Future)
1	Issue "ARRA Advisories" to the Department and Congress when we identify vulnerabilities that could impede DOT's ability to provide effective oversight to ARRA-funded projects and meet new requirements
2	Continue to monitor for fraudulent schemes in the contracting process.

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TRAINING ACTIVITIES

No.	Type of Training	Target Audience	Title of Training	Training Location (City, State)	Date of Training	Length of Training (hours)	Number of Participants	Hours of Training Provided (length x participants)	Cost of Training	Presentation with Other OIGs	Average Evaluation Rating
1	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	New York, NY	10/5/2009	1	145	145			
2	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Harrisburg, PA	10/9/2009	1	25	25			
3	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Florida	10/21/2009	1	50	50			
4	Fraud Prevention/Awareness	State	Fraud Prevention/Awareness Briefing	Jackson, TN	10/26/2009	1	82	82			
5	Fraud Prevention/Awareness	Federal	Fraud Prevention/Awareness Briefing	Carleston, WV	10/27/2009	1	14	14			
6	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Nashville, TN	10/27/2009	1	105	105			
7	Fraud Prevention/Awareness	State	Fraud Prevention/Awareness Briefing	Knoxville, TN	10/28/2009	1	45	45			
8	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	Nashville, TN	10/28/2009	1	40	40			
9	Fraud Prevention/Awareness	State	Fraud Prevention/Awareness Briefing	Chattanooga, TN	10/29/2009	1	32	32			
10	Fraud Prevention/Awareness	Mixed	Fraud Prevention/Awareness Briefing	New York, NY	10/30/2009	1	169	169			
TOTAL							707	707			

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OUTREACH ACTIVITIES					
No.	Organization to which Outreach Provided	Number of Organizations Represented at Outreach Session	Description of Outreach	Outreach Location (City, State)	Date of Outreach
1	New York City Metropolitan Transportation Authority	1	Discussed various ARRA-related matters	New York, NY	10/1/2009
2	Missman Staley & Associates	1	Discussed airport improvement program project oversight	Rock Island, IL	10/5/2009
3	Washington Metropolitan Area Transit Authority	1	Discussed ARRA-funded projects	Washington, DC	10/6/2009
4	Maine Department of Attorney General	1	Discussed Maine ARRA-funded projects	Augusta, ME	10/8/2009
5	DC Department of Transportation	1	Discussed ARRA-funded projects	Washington, DC	10/12/2009
6	Illinois Department of Transportation	1	Discussed oversight of Federal-Aid projects	Schaumburg, IL	10/14/2009
7	Southeastern Pennsylvania Transportation Agency	1	Discussed SEPTA ARRA-funded projects	Philadelphia, PA	10/15/2009
8	New Hampshire Department of Transportation	1	Discussed New Hampshire ARRA-funded projects	New Hampshire	10/16/2009
9	Illinois Department of Transportation	1	Discussed oversight of airport improvement program projects	Illinois	10/19/2009
10	District of Columbia Department of Transportation	1	Discussed ARRA-funded projects	Washington, DC	10/23/2009
11	Illinois Department of Transportation	1	Discussed ARRA coordination and outreach efforts	Chicago, IL	10/27/2009
12	Oklahoma Aeronautics Commission	1	Discussed six ARRA-funded airport improvement program projects	Oklahoma	10/27/2009
13	New York City Metropolitan Transportation Authority	1	Discussed New York State stimulus task force	New York, NY	10/29/2009