

OIG Recovery Act Monthly Report

Monthly Update Report Data (sheet 1 of 4) Version 4.0

Reporting Entity:	Department of Transportation - OIG	
Month Ending Date:	09/30/2009	

Recovery Act Funds Used on Recovery Act Activity

No.	Agency / Bureau	Recovery Act TAFS	Obligation Type	US Indicator	State Code (ONLY for grants and cooperative agreements)	Total Obligations	Total Gross Outlays
1	Department of Transportation - OIG	(69-0131 2009 \ 2013) Transportation - OIG - Recovery Act	Other	Y - US		\$379,668	\$348,860
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Non-Recovery Act Funds Used on Recovery Act Activity

No.	Agency / Bureau	Non-Recovery Act TAFS	Total FY 2009 Obligations	Total FY 2009 Gross Outlays	Total FY 2010 Obligations	Total FY 2010 Gross Outlays
1	Department of Transportation - OIG	(69-0130 2009) Transportation - OIG	\$1,571,665	\$1,422,302	N/A	N/A
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FTE Working on Recovery	
Newly Hired FTE (cumulative):	0.77
FTE Funded by Recovery Act Funds (cumulative):	1.54
FTE <u>Not</u> Funded by Recovery Act Funds (cumulative):	15.20

Testimonies:	
Provided (monthly):	0
Provided (cumulative):	3

Complaints		Whistleblower Reprisal Allegations		Investigations		Audits / Inspections / Evaluations / Reviews		Training / Outreach	
Monthly Data		Monthly Data		Monthly Data		Monthly Data		Monthly Data	
Received:	30	Received:	0	Opened:	2	Initiated (this month):	2	Training Sessions Provided:	9
		Accepted:	0	Active:	7	In Process (as of the end of the month):	3	Individuals Trained:	503
				Pending Decision:	4	Completed Final Published Work Products:	0	Hours of Training Provided:	503
				Closed without Action:	0	Priority Interim Published Work Products:	0	Outreach Sessions Conducted:	6
				Accepted for Prosecution:	0	Unpublished Work Products*:	0		
				Prosecution Denied:	0				
				Referred for Alternative Resolution:	0				
Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009		Cumulative Data Since 2/17/2009	
Received:	162	Received:	0	Closed without Action:	0	Completed Final Published Work Products:	4	Training Sessions Provided:	189
		Accepted:	0	Accepted for Prosecution:	6	Priority Interim Published Work Products:	0	Individuals Trained:	7,552
				Prosecution Denied:	0	Unpublished Work Products:	0	Hours of Training Provided:	7,552
				Referred for Alternative Resolution:	1	Cumulative Total:	4	Outreach Sessions Conducted:	110*
				Cumulative Total:	7				

* Number adjusted to exclude outreach to Federal entities.

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No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month)
1	On September 3, 2009, we initiated an audit of FAA's Process for Awarding ARRA Grants for Airport Projects
2	On September 9, 2009, we initiated an audit of DOT's Operating Administrations' plans for ensuring Recovery Act fund recipients submit accurate, complete, and timely data on the use of these funds, as required by Section 1512 of the Recovery Act.
3	On September 21, 2009, DOT OIG provided an ARRA-related presentation at the Pacific Northwest Intergovernmental Audit Forum in Anchorage, Alaska. The presentation focused on the DOT/OIG's strategy in overseeing the Department's implementation of the Recovery Act.
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No.	OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for the Future)
1	The Inspector General, Principal Assistant Inspector General for Auditing and Evaluation, and the Assistant Inspector General for Investigations will be speaking on ARRA related issues at the October 21-23, Association of Inspectors General Fall Conference in Washington, D.C.
2	Issue "ARRA Advisories" to the Department and Congress when we identify vulnerabilities that could impede DOT's ability to provide effective oversight to ARRA-funded projects and meet new requirements
3	Continue to monitor for fraudulent schemes in the contracting process.
4	Continue fraud prevention and awareness briefings and outreach efforts. As of September 30, we have nine fraud prevention and awareness training sessions scheduled for October.
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TRAINING ACTIVITIES											
No.	Type of Training	Target Audience	Title of Training	Training Location (City, State)	Date of Training	Length of Training (hours)	Number of Participants	Hours of Training Provided (length x participants)	Cost of Training	Presentation with Other OIGs	Average Evaluation Rating
1	Fraud Prevention/Awareness	Federal	ARRA Fraud Awareness and Prevention Briefing	Philadelphia, Pennsylvania	9/2/2009	1	15	15			
2	Fraud Prevention/Awareness	State	ARRA Fraud Awareness and Prevention Briefing	Hanover, Maryland	9/2/2009	1		0			
3	Fraud Prevention/Awareness	State	Fraud Awareness Presentation	Delaware	9/21/2009	1	20	20			
4	Fraud Prevention/Awareness	Local	ARRA Fraud Awareness and Prevention Briefing	Florida	9/11/2009	1		0			
5	Fraud Prevention/Awareness	Mixed	ARRA Fraud Awareness and Prevention Briefing	Baton Rouge, Louisiana	9/28/2009	1	100	100			
6	Fraud Prevention/Awareness	Mixed	ARRA Fraud Awareness and Prevention Briefing	Jackson, Mississippi	9/28/2009	1	263	263			
7	Fraud Prevention/Awareness	Mixed	American Contract Compliance Association Annual Conference	Fort Worth, Texas	9/16/2009	1	50	50			
8	Fraud Prevention/Awareness	Mixed	Minnesota and Wisconsin 2009 Public Transit Conference	Duluth, Minnesota	9/23/2009	1	40	40			
9	Fraud Prevention/Awareness	Federal	Wyoming Division FHWA ARRA Fraud Prevention and Awareness Briefing	Wyoming	9/22/2009	1	15	15			
10								0			
11								0			
12								0			
13								0			
14								0			
15								0			
							TOTAL	503	503		

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OUTREACH ACTIVITIES					
No.	Organization to which Outreach Provided	Number of Organizations Represented at Outreach Session	Description of Outreach	Outreach Location (City, State)	Date of Outreach
1	Rhode Island Airport Corporation	1	Liaison with stakeholder	Rhode Island	9/3/2009
2	Rhode Island Department of Transportation	2	Discussion about Rhode Island stimulus efforts and training for RIDOT inspectors and resident engineers	Rhode Island	9/24/2009
3	New York Metropolitan Transportation Authority	1	Discussion about zero-tolerance approach to fraud on MTA projects	New York, New York	9/3/2009
4	New York State Department of Transportation	1	Discussion about ARRA oversight	New York	9/3/2009
5	New York Metropolitan Transportation Authority, OIG	1	Discussion about status of New York state stimulus task force	New York, New York	9/10/2009
6	Tennessee Department of Transportation	1	Facilitate fraud briefings and obtain information on specific ARRA projects	Tennessee	9/24/2009