



Memorandum

**U.S. Department of
Transportation**

Office of the Secretary
of Transportation
Office of Inspector General

Subject: **INFORMATION:** Audit Announcement –
Audit of DOT's Compliance With the Reducing
Over-Classification Act
Department of Transportation
Project No. 15F3012F000

Date: June 23, 2015

From: Louis C. King 
Assistant Inspector General for Financial
and Information Technology Audits

Reply to
Attn. of: JA-20

To: Assistant Secretary for Administration

In October 2010, the President signed the Reducing Over-Classification Act, which requires inspectors general to conduct two evaluations of their departments' compliance with the Act by September 30, 2016. We completed the Department of Transportation's (DOT) first evaluation on September 19, 2013.¹

To comply with the Act, we are initiating our second evaluation. Our audit objectives are to (1) assess whether DOT has implemented policies and procedures to classify information effectively that comply with Federal policy and regulations and (2) identify any practices that may lead to persistent misclassification of information.

We plan to start this audit this month and will contact your audit liaison to schedule an entrance conference. We will conduct our work at DOT's and the Federal Aviation Administration's headquarters in Washington, DC. If you have any questions, please contact me at 202-366-4350 or Lissette Mercado, Information Technology Audit Advisor, at (202) 366-1911.

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cc: DOT Audit Liaison, M-1
FAA Audit Liaison, AAE-100

¹ DOT Does Not Fully Comply With Requirements of the Reducing Over-Classification Act (OIG Report Number FI-2013-136), September 19, 2013.