

OIG Recovery Act Monthly Report

| Monthly Update Report Data (sheet 1 of 4) Version 4.0a | | |
|---|------------------------------------|--|
| Reporting Entity: | Department of Transportation - OIG | |
| Month Ending Date: | 03/31/2010 | |

| Recovery Act Funds Used on Recovery Act Activity | | | | | | | | |
|---|------------------------------------|---|------------|--------------|-------------------|---------------------|------------------------|---------------|
| No. | Agency / Bureau | Recovery Act TAFS | Award Type | US Indicator | Total Obligations | Total Gross Outlays | Direct or Reimbursable | Ordering TAFS |
| 1 | Department of Transportation - OIG | (69-0131 2009 \ 2013) Transportation - OIG - Recovery Act | | Y - US | \$2,561,649 | \$2,449,467 | Direct | N/A |
| 2 | | | | | | | | |
| 3 | | | | | | | | |
| 4 | | | | | | | | |
| 5 | | | | | | | | |
| 6 | | | | | | | | |
| 7 | | | | | | | | |
| 8 | | | | | | | | |
| 9 | | | | | | | | |
| 10 | | | | | | | | |

| Non-Recovery Act Funds Used on Recovery Act Activity | | | | | | | |
|---|------------------------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------------|---------------------------|-----------------------------|
| No. | Agency / Bureau | FY 2009 Non-Recovery Act TAFS | Total FY 2009 Obligations | Total FY 2009 Gross Outlays | FY 2010 Non-Recovery Act TAFS | Total FY 2010 Obligations | Total FY 2010 Gross Outlays |
| 1 | Department of Transportation - OIG | (69-0130 2009) Transportation - OIG | \$1,569,106 | \$1,569,106 | (69-0130 2010) Transportation - OIG | \$720,658 | \$574,322 |
| 2 | | | | | | | |
| 3 | | | | | | | |
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| 7 | | | | | | | |
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| | | |
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| Reporting OIG: | Department of Transportation - OIG | |
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| FTE Working on Recovery | | | |
|---|-------|-------|------------|
| Fiscal Year | 2009 | 2010 | Cumulative |
| Newly Hired FTE (cumulative): | 0.77 | 5.80 | 6.57 |
| FTE Funded by Recovery Act Funds (cumulative): | 1.54 | 19.68 | 21.22 |
| FTE <u>Not</u> Funded by Recovery Act Funds (cumulative): | 15.20 | 4.31 | 19.51 |

| Testimonies: | |
|------------------------|---|
| Provided (monthly): | 1 |
| Provided (cumulative): | 4 |

| Complaints | | Whistleblower Reprisal Allegations | | Investigations | | Audits / Inspections / Evaluations / Reviews | | Training / Outreach | |
|---------------------------------|-----|--------------------------------------|---|---|-----------|--|--------|---------------------------------|--------|
| Monthly Data | | Monthly Data | | Monthly Data | | Monthly Data | | Monthly Data | |
| Received: | 10 | Received: | 0 | Opened (this month): | 0 | Initiated (this month): | 1 | Training Sessions Provided: | 12 |
| | | Accepted: | 0 | Active (as of the end of the month): | 24 | In Process (as of the end of the month): | 12 | Individuals Trained: | 1040 |
| | | Pending Decision: | 8 | Completed Final Published Work Products: | 0 | Hours of Training Provided: | 1170 | | |
| | | Closed without Action: | 1 | Priority Interim Published Work Products: | 0 | Outreach Sessions Conducted: | 4 | | |
| | | Accepted for Prosecution: | 4 | Unpublished Work Products*: | 0 | | | | |
| | | Prosecution Denied: | 1 | | | | | | |
| | | Referred for Alternative Resolution: | 0 | | | | | | |
| Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | | Cumulative Data Since 2/17/2009 | |
| Received: | 220 | Received: | 2 | Closed without Action: | 4 | Completed Final Published Work Products: | 8 | Training Sessions Provided: | 192 |
| | | Accepted: | 2 | Accepted for Prosecution: | 23 | Priority Interim Published Work Products: | 0 | Individuals Trained: | 13,818 |
| | | Prosecution Denied: | 2 | Unpublished Work Products: | 0 | Hours of Training Provided: | 13,848 | | |
| | | Referred for Alternative Resolution: | 1 | Cumulative Total: | 8 | Outreach Sessions Conducted: | 145 | | |
| | | | | Cumulative Total: | 30 | | | | |

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| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Completed/On-Going During Reporting Month) |
|-----|---|
| 1 | On March 18, the Inspector General testified before the House Committee on Oversight and Government Reform on "Weaknesses in DOT's Suspension and Debarment Program Limits its Protection of Government Funds." |
| No. | OTHER TYPES OF SIGNIFICANT ACTIVITIES (Planned for Next Three Months) |
| 1 | Issue "ARRA Advisories" to the Department and Congress when we identify vulnerabilities that could impede DOT's ability to provide effective oversight to ARRA-funded projects and meet new requirements |
| 2 | Continue to monitor for fraudulent schemes in the contracting process. |

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| TRAINING ACTIVITIES | | | | | | | | | | | |
|---------------------|----------------------------|-----------------|-------------------------------------|---------------------------------|------------------|----------------------------|------------------------|--|------------------|------------------------------|---------------------------|
| No. | Type of Training | Target Audience | Title of Training | Training Location (City, State) | Date of Training | Length of Training (hours) | Number of Participants | Hours of Training Provided (length x participants) | Cost of Training | Presentation with Other OIGs | Average Evaluation Rating |
| 1 | Fraud Prevention/Awareness | Federal | Fraud Prevention/Awareness Briefing | Los Angeles, CA | 3/3/2010 | 1 | 40 | 40 | N/A | | N/A |
| 2 | Fraud Prevention/Awareness | Federal | Fraud Prevention/Awareness Briefing | Webinar | 3/9/2010 | 1 | 150 | 150 | N/A | | N/A |
| 3 | Fraud Prevention/Awareness | Mixed | Fraud Prevention/Awareness Briefing | Juneau, AK | 3/9/2010 | 1 | 60 | 60 | N/A | | N/A |
| 4 | Fraud Prevention/Awareness | Private Sector | Fraud Prevention/Awareness Briefing | Annapolis, MD | 3/12/2010 | 1 | 55 | 55 | N/A | | N/A |
| 5 | Fraud Prevention/Awareness | Mixed | Fraud Prevention/Awareness Briefing | Fairview Heights, IL | 3/16/2010 | 1 | 100 | 100 | N/A | | N/A |
| 6 | Fraud Prevention/Awareness | State | Fraud Prevention/Awareness Briefing | Greenbelt, MD | 3/17/2010 | 1 | 35 | 35 | N/A | | N/A |
| 7 | Fraud Prevention/Awareness | State | Fraud Prevention/Awareness Briefing | Newington, CT | 3/19/2010 | 1.5 | 125 | 187.5 | N/A | | N/A |
| 8 | Fraud Prevention/Awareness | State | Fraud Prevention/Awareness Briefing | Newington, CT | 3/19/2010 | 1.5 | 135 | 202.5 | N/A | | N/A |
| 9 | Fraud Prevention/Awareness | State | Fraud Prevention/Awareness Briefing | Lenox, MA | 3/23/2010 | 1 | 45 | 45 | N/A | | N/A |
| 10 | Fraud Prevention/Awareness | Mixed | Fraud Prevention/Awareness Briefing | Kansas City, MO | 3/24/2010 | 1 | 200 | 200 | N/A | | N/A |
| 11 | Fraud Prevention/Awareness | State | Fraud Prevention/Awareness Briefing | Dunmore, PA | 3/25/2010 | 1 | 35 | 35 | N/A | | N/A |
| 12 | Fraud Prevention/Awareness | State | Fraud Prevention/Awareness Briefing | Boise, ID | 3/30/2010 | 1 | 60 | 60 | N/A | | N/A |
| TOTAL | | | | | | | 1040 | 1170 | | | |

| OUTREACH ACTIVITIES | | | | | |
|---------------------|---|---|---|---------------------------------|------------------|
| No. | Organization to which Outreach Provided | Number of Organizations Represented at Outreach Session | Description of Outreach | Outreach Location (City, State) | Date of Outreach |
| 1 | City of Columbus Georgia | 1 | Discussed Ongoing Recovery Act Projects | Columbus, GA | 3/5/2010 |
| 2 | Massachusetts Port Authority | 1 | Overview of Recovery Act efforts | Boston, MA | 3/8/2010 |
| 3 | New York State Stimulus Oversight Working Group | 5 | Discussed Fraud Prevention Strategies | New York, NY | 3/25/2010 |
| 4 | MA STOP Fraud Task Force | 20 | Discussed Fraud Prevention Strategies | Boston, MA | 3/29/2010 |