



Memorandum

**U.S. Department of
Transportation**

Office of the Secretary
of Transportation
Office of Inspector General

Subject: **INFORMATION**: Audit Announcement –
Effectiveness of DOT's Information Systems'
Disaster Recovery Plans and Exercises
Department of Transportation
Project No. 15F3002F000

Date: January 15, 2015

From: Louis C. King 
Assistant Inspector General
for Financial and Information Technology Audits

Reply to
Attn. of: JA-20

To: Chief Information Officer, DOT

The Department of Transportation's (DOT) operations rely on more than 450 information systems. These systems, including air traffic control, communication, and network systems, provide fundamental capabilities for keeping the Nation's transportation system safe and operational. In the event of an emergency, their continued availability is essential for the Department to maintain effective operations.

The Office of Inspector General (OIG) will perform an audit of DOT's disaster recovery plans and exercises for its information systems. Our audit objectives are to determine whether DOT and its operating administrations have (1) developed adequate disaster recovery plans for their information systems; and (2) conducted effective test exercises of these plans to ensure they will work in the event of a disruption.

We will begin this audit in January 2015, and conduct it at DOT Headquarters and selected field sites. We will contact your audit liaison to schedule an entrance conference. If you have any questions, please contact me at (202) 366-1407, or Nathan Custer, Program Director, at (202) 366-5540.

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cc: DOT Audit Liaison, M-1
CIO Council Members