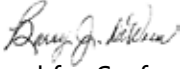




Memorandum

Date: June 12, 2019

Subject: INFORMATION: Risk Assessment Announcement | DOT GONE Act Risk Assessment |
Project No. 19S1001S000
Department of Transportation

From: Barry J. DeWeese 
Assistant Inspector General for Surface Transportation Audits

To: Acting Chief Financial Officer and Assistant Secretary for Budget and Programs

In January 2016, President Obama signed the Grants Oversight and New Efficiency (GONE) Act,¹ which requires Federal agencies to report to Congress on open Federal grant and cooperative agreement awards with periods of performance that have been expired for over 2 years and take appropriate action to close them out. The act also requires that the Inspector General of an agency with over \$500 million in annual grant funding, such as the Department of Transportation (DOT), conduct a risk assessment to determine whether an audit or review of the agency's grant close-out process is warranted.

Accordingly, we plan to begin our risk assessment of DOT in the next few weeks and will coordinate with your audit liaisons to schedule an entrance conference. We will conduct our work at DOT Headquarters and other sites as needed. If you have any questions, please contact me at 202-366-5630, or Tony Wysocki, Program Director, at 202-493-0223.

cc: DOT Audit Liaison, M-1
FAA Audit Liaison AAE-100
FHWA Audit Liaison, HAIM-13
FMCSA Audit Liaison, MCPRS
FRA Audit Liaison, RAD-43
FTA Audit Liaison, TBP-30
MARAD Audit Liaison, MAR-392
NHTSA Audit Liaison, NPO-310
PHMSA Audit Liaison, PH-3
SLSDC Audit Liaison

¹ Pub. Law No. 114-117.