




---

## Memorandum

Date: January 26, 2021

Subject: INFORMATION: Audit Announcement | Fiscal Year 2021 FISMA Review | Project No. 21T3002T000  
Department of Transportation

From: Kevin Dorsey   
Assistant Inspector General for Information Technology Audits

To: Chief Information Officer

---

The Federal Information Security Modernization Act of 2014 (FISMA) requires Federal agencies to implement information security programs. The act also requires agencies to conduct annual independent reviews to determine the effectiveness of their programs and report the reviews' results to the Office of Management and Budget (OMB). We have contracted with CliftonLarsonAllen LLP (CLA), an independent public accounting firm, to conduct the review of the Department of Transportation's (DOT) information security program, subject to our oversight.

The audit objective will be to determine the effectiveness of DOT's information security program, including its performance in five function areas—Identify, Protect, Detect, Respond, and Recover. We will also submit an assessment of FISMA security metrics and performance measures through CyberScope,<sup>1</sup> as OMB requires.

CLA will begin the audit immediately and conduct it at DOT Headquarters, Operating Administration offices, and contractor sites. We will contact your audit liaison to schedule an entrance conference. If you have any questions, please call me at (202) 366-1518, or Nathan Custer, Program Director, at (202) 366-5540.

cc: The Deputy Secretary  
CIO Council Members  
DOT Audit Liaison, M-1

---

<sup>1</sup> A web-based application that collects security data from Federal agencies. OMB compiles the data and generates reports as FISMA requires.