



Memorandum

U.S. Department of
Transportation

Office of the Secretary
of Transportation
Office of Inspector General

Subject: INFORMATION: Audit Announcement–DOT's
Information Security Program and Practices for
Fiscal Year 2016
Department of Transportation
Project Number: 16F3002F000

Date: March 2 , 2016

From: Louis C. King
Assistant Inspector General for Financial
and Information Technology Audits

Reply
to JA-20
Attn.
of:

To: Chief Information Officer, DOT

As required by the Federal Information Security Modernization Act of 2014 (FISMA), the Office of Inspector General is initiating its fiscal year 2016 audit of the Department of Transportation's (DOT) information security program and practices. As further required by FISMA, we will review a representative subset of DOT's systems.

Our audit objective is to determine the effectiveness of DOT's information security program and practices. Specifically, we will review DOT's (1) information security policy and procedures; (2) enterprise-level information security controls; (3) system-level security controls; and (4) management of information security weaknesses. We will also assess and report on the results of FISMA security metrics and performance measures through CyberScope,¹ as required by the Office of Management and Budget (OMB). The results of our assessment and our audit report will be incorporated into the Secretary's 2016 submission to OMB.

We plan to begin work immediately, and will conduct the audit at DOT Headquarters, Operating Administrations and contractor sites in the Washington, DC area. We will contact your audit liaison to schedule an entrance conference. If you have any questions, please call me at (202) 366-1407.

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cc: The Deputy Secretary
CIO Council Members
DOT Audit Liaison

¹ A Web-based application that collects security data from each Federal agency. OMB compiles the data and generates reports, as required by FISMA.