



# Memorandum

U.S. Department of  
Transportation

Office of the Secretary  
of Transportation  
Office of Inspector General

Subject: **INFORMATION**: Audit Announcement –  
SSAE-16 Review of the Enterprise Services  
Center  
Department of Transportation  
Project No. 17F3012F000

Date: February 16, 2017

From: Louis C. King  
Assistant Inspector General for  
Financial and Information Technology Audits

Reply to  
Attn. of: JA-20

To: Assistant Secretary for Budget and Programs/Chief Financial Officer

As a Federal service provider, the Department of Transportation (DOT) offers a range of business services to its Operating Administrations and other Federal organizations through its Enterprise Services Center (ESC). The Office of Management and Budget (OMB) requires Federal service providers to give client agencies annual reports from independent auditors that describe internal controls in accordance with the American Institute of Certified Public Accountants' Statement on Standards for Attestation Engagements No.16 (SSAE-16). DOT and other client agencies' auditors will use the results of this review in their fiscal year 2017 financial statement audits.

We have contracted with KPMG, LLC, an independent public accounting firm, to conduct the review of ESC subject to OIG's oversight. The objectives of the review are to determine whether: (1) management's descriptions of ESC's systems are fairly presented; (2) ESC's controls are suitably designed; and (3) ESC's controls are operating effectively throughout the period of October 1, 2016 through June 30, 2017. KPMG will do additional testing and issue a follow-up letter to our office for the period July 1, 2017 through September 30, 2017.

KPMG will begin this review in February 2017, and conduct it at the Mike Monroney Aeronautical Center (MMAC) in Oklahoma City. If you have any questions, please call me at (202) 366-1407.

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cc: Chief Information Officer, DOT  
Assistant Administrator for Financial Services and Chief Financial  
Officer, Federal Aviation Administration (FAA)  
Assistant Administrator for Information Services and Chief Information  
Officer, FAA  
Director, MMAC, FAA  
DOT Audit Liaison, M-1  
FAA Audit Liaison, AAE-100  
ESC SSAE 16 Audit Liaison, AMK-010