



U.S. Department of  
Transportation

Office of Inspector General  
Washington, D.C. 20590

July 16, 2018

The Honorable Ann D. Begeman  
Chairman, Surface Transportation Board  
395 E Street, SW  
Washington, DC 20423-0001

Dear Ms. Begeman:

The Federal Information Security Modernization Act of 2014 (FISMA), requires agencies to implement information security programs. The act also requires agencies to have an annual independent evaluation performed to determine the effectiveness of their programs and report the results of these reviews to the Office of Management and Budget (OMB). To meet this requirement, the Surface Transportation Board (STB) has requested that we perform its fiscal year 2018 FISMA review. We have contracted with Williams Adley & Company—DC LLP (Williams Adley), an independent public accounting firm, to conduct this review subject to our oversight.

The audit objective will be to determine the effectiveness of STB's information security program and practices in five function areas—Identify, Protect, Detect, Respond, and Recover. As required by FISMA, we will review a representative subset of STB's systems. We will also assess and report on the results of FISMA security metrics and performance measures through CyberScope,<sup>1</sup> as required by OMB.

Williams Adley's auditors will begin the audit immediately and conduct their work at STB Headquarters offices in Washington, DC, and contractor sites. We will contact your audit liaison to schedule an entrance conference. If you have any questions, please contact me at (202) 366-1407, or Abdil Salah, Program Director, at (202) 366-8543.

Sincerely,

A handwritten signature in black ink, appearing to read "Louis C. King".

for

Louis C. King

Assistant Inspector General for Financial and Information Technology Audits

cc: STB Audit Liaison

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<sup>1</sup> A web-based application that collects security data from each Federal agency. OMB compiles the data and generates reports, as required by FISMA.